

Applicant or Patentee: Alberto Alvarez-Calderon F.

Serial or Patent N°

Docket N° 1186-001

Filed or Issued:

Title: **TRANSONIC HULL AND HYDROFIELD (PART II)**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) & 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. § 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office regarding the invention entitled: **TRANSONIC HULL AND HYDROFIELD (PART II)** described in:

☒ the patent application and specification filed herewith.

☐ application serial number _____, filed _____

☐ patent number _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person had made the invention or to any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: None

*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)

NAME

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL


☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. 1.28(b)).

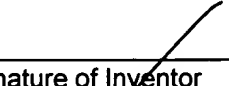
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Alberto Alvarez-Calderon F.

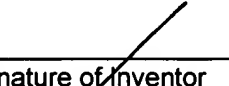

Signature of Inventor

Date

Sept. 25. 2000


Signature of Inventor

Date


Signature of Inventor

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Alberto Alvarez-Calderon F., the above named Petitioner, declares that he is a citizen of Peru and a resident of the United States in the State of California, with a residence and post office address of 410 Fern Glen, La Jolla, California 92037, that he verily believes himself to be the original, first and sole inventor of the subject matter which is described and claimed in the annexed specification entitled TRANSONIC HULL AND HYDROFIELD (PART II) and for which a patent is sought;

that he does not know and does not believe that the same was ever known or used in the United States of America before his invention thereof or patented or made the subject of an inventor's certificate or described in any printed publication in any country before his invention thereof, or more than one year prior to the date of said application, or in public use or on sale in the United States more than one year prior to the date of said application;

that said invention has not been patented or made the subject of an inventor's certificate before the date of said application in any country foreign to the United States on an application filed by him or his legal representatives or assigns more than twelve months prior to the date of said application;

that said invention was the subject of a utility patent application Serial No. 08/814,418 filed on March 11, 1997 and that the instant application claims priority based on the cited utility patent application;

that he acknowledges a duty under 37 C.F.R § 1.56 to disclose information he is aware of which is material to the examination of the application, that he has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration, and that no application for patent on said invention has been filed by him or his representatives or assigns in any country foreign to the United States, except as follows: None.

And he hereby appoints the Law Offices of Adam H. Jacobs, comprising Adam H. Jacobs, Registration No. 37,852, 1904 Farnam Street, Suite 726, Omaha, Nebraska 68102, as his attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

The undersigned Petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.


Alberto Alvarez-Calderon F.

Date: Sept - 25 - 2000